



ATTORNEY'S DOCKET NO: C1039/7022

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Krieg
Serial No: 09/337,893
Filed: June 21, 1999
For: METHODS OF REDIRECTING AN IMMUNE RESPONSE USING
IMMUNOSTIMULATORY OLIGONUCLEOTIDES
Examiner: Not Yet Assigned
Art Unit: 1633

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on the 5th day of November, 1999.

Kim Ray-Akeeli

THE ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith are the following documents:

- [x] Information Disclosure Statement
- [x] Form 1449 and References Cited
- [x] Return Receipt Postcard

If the enclosed papers are considered incomplete, the U.S. Patent and Trademark Office is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

No check is enclosed. If a fee is required, please charge to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

By:
Helen C. Lockhart, Registration No. 39,248
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Tel. (617)720-3500

Docket No. C1039/7022
Dated: November 5, 1999
NDD



ATTORNEY'S DOCKET NO: C1037132 **RECEIVED**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Kim Ray-Akeeli

Assistant Commissioner for Patents
Washington, D.C. 20231

**STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the
Applicants request consideration of this Information Disclosure Statement.

Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first
Office Action on the merits in the above-identified case.

No fee or certification is required.

Information Cited

The Applicants hereby make of record in the above-identified application the
information listed on the attached form PTO-1449 (modified). The order of presentation of the
references should not be construed as an indication of the importance of the references.

The Applicants hereby make the following additional information of record in the
above-identified application:

The following are related pending U.S. non-provisional applications which do not appear on the 1449 form.



<u>Serial No.</u>	<u>Filing Date</u>
08/386,063	02/07/95
08/738,652	10/30/96
08/960,774	10/30/97
09/030,701	02/25/98
09/082,649	05/20/98
09/146,072	09/02/98
09/154,614	09/16/98
09/241,653	02/02/99
09/286,098	04/02/99
09/306,281	05/06/99
09/316,199	05/21/99
09/325,193	06/03/99
09/337,584	06/21/99
09/337,619	06/21/99
09/337,636	06/21/99
09/361,575	07/27/99

The following are related PCT Publications, published after the priority date (copies were provided in priority application):

WO 98/37919	09/03/98
WO 98/18810	05/07/98
WO 98/40100	09/17/98
WO 98/52581	11/26/98

Remarks

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

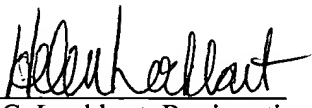
By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicants, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By: 
Helen C. Lockhart, Registration No. 39,248
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Tel. (617)720-3500

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